

Exhibit A

State Street Bank and Trust Company

United States v. Ross McLellan, Case No. 16-cr-10094-LTS

Revised Privilege Log of Documents Responsive to Defendant's Third Party Subpoena, dated November 15, 2017

May 29, 2018

Priv. No	Control # Start	Date	From	To	CC	Basis for Withholding	Privilege Description
1	94326622	9/22/2017 10:11:22 AM	Bonn, Nick	Tapparo, Susan M	Miller, Kevin A; Trabucco, Mark R; Carangelo, Eric; McKay, Melissa A.*	Attorney-Client	Email providing information for the purpose of obtaining legal advice from M. McKay* and N. Chaney* regarding response to █████ inquiry into March 2011 transition
2	94326625	9/19/2017 4:12:33 PM	Bonn, Nick	Miller, Kevin A		Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding █████ transition response to █████ inquiry into March 2011 transition
3	94712435	9/21/2017 1:29:40 PM	Chaney, Nathan*	McKay, Melissa A.*; Miller, Kevin A; Tapparo, Susan M; Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; Chaney, Nathan*	Attorney-Client	Email chain reflecting legal advice of M. McKay* and N. Chaney* regarding response to █████ inquiry into March 2011 transition
4	94712438	9/21/2017 1:30:59 PM	Tapparo, Susan M	Chaney, Nathan*; McKay, Melissa A.*; Miller, Kevin A; Bonn, Nick; Trabucco, Mark R	Carangelo, Eric	Attorney-Client	Email chain reflecting legal advice of M. McKay* and N. Chaney* regarding response to █████ inquiry into March 2011 transition
5	94712441	9/21/2017 10:18:11 PM	Tapparo, Susan M	Miller, Kevin A; Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; McKay, Melissa A.*; Tapparo, Susan M	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
6	94712444	9/21/2017 10:17:58 PM				Attorney-Client	Spreadsheet providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
7	94712945	9/21/2017 10:18:01 PM				Attorney-Client	Spreadsheet providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
8	95208725	9/15/2017 9:00:46 PM	McKay, Melissa A.*	Miller, Kevin A; Tapparo, Susan M; Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; Chaney, Nathan*	Attorney-Client	Email chain reflecting legal advice of M. McKay* and N. Chaney* regarding response to █████ inquiry into March 2011 transition
9	95208727	9/19/2017 4:18:09 PM	Miller, Kevin A	Bonn, Nick		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
10	95208729	9/19/2017 3:56:38 PM	Miller, Kevin A	Tapparo, Susan M; Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; McKay, Melissa A.*	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to █████ inquiry into March 2011 transition

* Denotes Attorney Name

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Priv. No	Control # Start	Date	From	To	CC	Basis for Withholding	Privilege Description
11	95208731	9/19/2017 2:48:15 PM	Tapparo, Susan M	Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; Miller, Kevin A; McKay, Melissa A.*; Tapparo, Susan M	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
12	95208737	9/15/2017 5:39:59 PM	McKay, Melissa A.*	Bonn, Nick; Tapparo, Susan M; Trabucco, Mark R	Carangelo, Eric; Miller, Kevin A; Chaney, Nathan*	Attorney-Client	Email chain rendering legal advice of M. McKay* response to [REDACTED] inquiry into March 2011 transition
13	95208738	9/15/2017 5:39:39 PM	Tapparo, Susan M	Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; Miller, Kevin A; McKay, Melissa A.*	Attorney-Client	Email chain requesting information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
14	96617637	3/1/2011 6:02:38 PM	Isberg, Renee	Corwin, Kelly; Dial, Lance*; Brunell, Michael; Coyle, Steve; Waters, Patrick; Famiglietti, Taylor	Forsgard, James; Baker, Christopher; Malatos, Ann; Hazzard, Jessica	Attorney-Client	Email reflecting request for legal advice from L. Dial* regarding updating Letter of Direction for March 2011 [REDACTED] transition
15	96622018	3/1/2011 6:54:43 PM	Isberg, Renee	Isberg, Renee; Corwin, Kelly; Dial, Lance*; Brunell, Michael; Coyle, Steve; Waters, Patrick; Famiglietti, Taylor	Forsgard, James; Baker, Christopher; Malatos, Ann; Hazzard, Jessica; Grillo, Sydney	Attorney-Client	Email chain requesting legal advice from L. Dial* regarding updating Letter of Direction for March 2011 [REDACTED] transition
16	96622019	3/3/2011 3:58:40 PM	Isberg, Renee	Waters, Patrick		Attorney-Client	Email chain discussing legal advice of L. Dial* regarding 17(e)-1 transactions in [REDACTED] transition
17	96622041	3/2/2011 3:10:02 PM	Isberg, Renee	Dial, Lance*	Waters, Patrick; Famiglietti, Taylor	Attorney-Client	Email requesting and rendering legal advice of L. Dial* regarding Letter of Direction for March 2011 [REDACTED] transition
18	96800075	3/1/2011 10:39:05 PM	Dial, Lance*	Isberg, Renee	Coyle, Steve; Corwin, Kelly; Brunell, Michael; Waters, Patrick	Attorney-Client	Email rendering legal advice of L. Dial* regarding 17(e)-1 compliance for March 2011 [REDACTED] transition
19	96800077	2/28/2011 3:28:10 PM	Isberg, Renee	Coyle, Steve		Attorney-Client	Email discussing request for legal advice from L. Dial* regarding March 2011 [REDACTED] transition
20	96800650	2/18/2011 9:09:25 PM	Isberg, Renee	Dial, Lance*	Coyle, Steve; Waters, Patrick	Attorney-Client	Email reflecting request for legal advice from L. Dial* regarding transition manager for March 2011 [REDACTED] transition

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Priv. No	Control # Start	Date	From	To	CC	Basis for Withholding	Privilege Description
21	96801171	3/3/2011 3:43:57 PM	Waters, Patrick	Isberg, Renee		Attorney-Client	Email chain discussing legal advice of L. Dial* regarding 17(e)-1 transactions in March 2011 █████ transition
22	96806196	3/1/2011 11:41:45 PM	Corwin, Kelly	Dial, Lance*; Isberg, Renee	Coyle, Steve; Brunell, Michael; Waters, Patrick; Hazzard, Jessica; Famiglietti, Taylor	Attorney-Client	Email chain rendering legal advice of L. Dial* regarding 17(e)-1 compliance for March 2011 █████ transition
23	96806435	3/2/2011 3:11:19 PM	Dial, Lance*	Isberg, Renee	Waters, Patrick; Famiglietti, Taylor	Attorney-Client	Email requesting and rendering legal advice of L. Dial* regarding Letter of Direction for March 2011 █████ transition
24	98012377	2/25/2011 5:04:56 PM	Famiglietti, Taylor	Dial, Lance*; Isberg, Renee; Hevehan, Paul; Hazzard, Jessica; Brunell, Michael; Baker, Christopher; Johnson, Keith P	Waters, Patrick; Coyle, Steve	Attorney-Client	Email requesting legal advice from L. Dial* regarding Letter of Direction for █████
25	98012381	2/25/2011 12:04:00 PM				Attorney-Client	Draft letter of direction in connection with seeking legal advice from L. Dial* regarding █████
26	99312351	2/7/2011 6:02:52 PM	Coyle, Steve	Dial, Lance*		Attorney-Client	Email requesting and rendering legal advice of L. Dial* regarding █████ conference call
27	99316491	2/18/2011 9:01:48 PM	Grillo, Sydney	Dial, Lance*		Attorney-Client	Email requesting legal advice from L. Dial* regarding █████ transaction
28	99319802	2/7/2011 2:07:34 PM	Coyle, Steve	Dial, Lance*		Attorney-Client	Email requesting legal advice from L. Dial* regarding █████ request
29	100012106	9/22/2017 8:22:16 PM	Miller, Kevin A	Walling III, Roy; Trabucco, Mark R; Tapparo, Susan M; Carangelo, Eric	Bonn, Nick	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
30	100504520	3/1/2011 6:04:19 PM	Baker, Christopher	Dial, Lance*	Forsgard, James	Attorney-Client	Email requesting legal advice from L. Dial* regarding 17(e)-1 and transition manager for March 2011 █████ transition

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Priv. No	Control # Start	Date	From	To	CC	Basis for Withholding	Privilege Description
31	101017790	9/26/2017 10:34:55 PM	Walling III, Roy	Miller, Kevin A; Trabucco, Mark R; Tapparo, Susan M; Carangelo, Eric; Griffiths, Michelle		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
32	101017794	9/26/2017 10:29:58 PM				Attorney-Client	Document providing information for the purpose of obtaining legal advice from M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
33	101700697	9/15/2017 5:37:47 PM	Bonn, Nick	Tapparo, Susan M; Trabucco, Mark R	Carangelo, Eric; Miller, Kevin A; McKay, Melissa A.*	Attorney-Client	Email requesting information for the purpose of rendering legal advice of M. McKay regarding response to [REDACTED] inquiry into March 2011 transition
34	101716956	9/15/2017 6:33:15 PM	Carangelo, Eric	Portfolio Solutions-Onboarding-NA	Miller, Kevin A	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
35	102508416	9/19/2017 3:56:38 PM	Miller, Kevin A	Tapparo, Susan M; Bonn, Nick; Trabucco, Mark R	Carangelo, Eric; McKay, Melissa A.*	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
36	102508423	9/19/2017 11:45:27 AM	Miller, Kevin A	Tapparo, Susan M		Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
37	103639456	9/19/2017 4:18:09 PM	Miller, Kevin A	Bonn, Nick		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
38	103639458	9/22/2017 8:22:16 PM	Miller, Kevin A	Walling III, Roy; Trabucco, Mark R; Tapparo, Susan M; Carangelo, Eric	Bonn, Nick	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
39	103639476	9/19/2017 5:05:57 PM	Miller, Kevin A	Carangelo, Eric; Portfolio Solutions-Onboarding-NA		Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition
40	110247606	9/25/2017 9:09:50 PM	Walling III, Roy	Trabucco, Mark R; Tapparo, Susan M	Miller, Kevin A; Bonn, Nick; Carangelo, Eric	Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition

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41	110247609	9/25/2017 9:11:03 PM	Walling III, Roy	Trabucco, Mark R		Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding █████ transition
42	110247612	9/26/2017 10:34:55 PM	Walling III, Roy	Miller, Kevin A; Trabucco, Mark R; Tapparo, Susan M; Carangelo, Eric; Griffiths, Michelle		Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
43	110247616	9/26/2017 10:29:58 PM				Attorney-Client	Document providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
44	110247982	9/22/2017 7:49:00 PM	Walling III, Roy	Trabucco, Mark R; Tapparo, Susan M; Miller, Kevin A; Carangelo, Eric	Bonn, Nick	Attorney-Client	Email chain providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
45	110521774	9/25/2017 9:11:03 PM	Walling III, Roy	Trabucco, Mark R		Attorney-Client	Email chain reflecting request for information for the purpose of rendering legal advice of M. McKay* regarding response to █████ inquiry into March 2011 transition
46	110684499	9/21/2017 1:33:34 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Email chain requesting information for the purpose of rendering legal advice of M. McKay* regarding response to █████ inquiry into March 2011 transition
47	110684502	9/22/2017 12:10:43 PM	Trabucco, Mark R	Tapparo, Susan M; Walling, Roy III	Miller, Kevin A; Bonn, Nick; Carangelo, Eric	Attorney-Client	Email chain requesting information for the purpose of rendering legal advice of M. McKay* regarding response to █████ inquiry into March 2011 transition
48	110684505	9/21/2017 10:17:58 PM				Attorney-Client	Spreadsheet providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
49	110685006	9/21/2017 10:18:01 PM				Attorney-Client	Spreadsheet providing information for the purpose of obtaining legal advice from M. McKay* regarding response to █████ inquiry into March 2011 transition
50	110846698	9/21/2017 1:33:34 PM	Trabucco, Mark R	Walling, Roy III		Attorney-Client	Email chain requesting information for the purpose of rendering legal advice of M. McKay regarding response to █████ inquiry into March 2011 transition

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51	111163414	9/21/2017 1:33:34 PM	Trabucco, Mark R	Walling, Roy III		Attorney-Client	Email chain requesting information for the purpose of rendering legal advice of M. McKay* regarding response to [REDACTED] inquiry into March 2011 transition

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May 29, 2018

Privilege Number	Bates No. Begin	Bates No. End	File Name/Subject	Date Modified/Sent	From	To	CC	Basis for Redaction	Description of Privileged Information
1	SS3_58650	SS3_58651	RE: █████ 2011 Fixed Income Trade	9/15/2017 8:50:22 PM	Miller, Kevin A	Tapparo, Susan M;Bonn, Nick;Trabucco, Mark R	Carangelo, Eric;Mckay, Melissa A	Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
2	SS3_58652	SS3_58654	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document
3	SS3_58655	SS3_58656	RE: █████ 2011 Fixed Income Trade	9/15/2017 6:06:46 PM	Carangelo, Eric	Mckay, Melissa A;Bonn, Nick;Tapparo, Susan M;Trabucco, Mark R	Miller, Kevin A;Chaney, Nathan	Attorney-Client	Redacted email reflecting N. Bonn's request for information for the purpose of obtaining legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
4	SS3_58657	SS3_58657	NYSTRS 11 14 16.pdf	9/15/2017 6:04:36 PM					Produced attachment to redacted document
5	SS3_59289	SS3_59289	█████/SSgA Transition Call	2/24/2011 10:16:51 PM	Isberg, Renee	Isberg, Renee;Dial, Lance;Baker, Christopher (Compliance-SSgA);Hevehah, Paul;Waters, Patrick;Brunell, Michael;Hazzard, Jessica;Johnson, Keith P		Attorney-Client	Redacted email reflecting request for legal advice of L. Dial* regarding upcoming █████ transition
6	SS3_59290	SS3_59292	FW: █████ Transition	2/16/2011 2:29:29 PM	Brunell, Michael	Zhang, Gary;Kelly, Kyle;Langille, Ryan	Gjerstad, Fredrik;Roman, Luis J;Kirby, John;Isberg, Renee;Dial, Lance	Attorney-Client	Redacted email providing information for the purpose of, and reflecting M. Brunell's request for, legal advice of L. Dial* regarding measuring performance of █████ portfolios prior to transition
7	SS3_58672	SS3_58672	Can you make █████ at 1?	2/7/2011 4:19:50 PM	Coyle, Steve	Dial, Lance			Produced document
8	SS3_58658	SS3_58660	RE: █████ 2011 Fixed Income Trade	9/22/2017 9:52:01 PM	Miller, Kevin A	Chaney, Nathan;Mckay, Melissa A;Tapparo, Susan M;Bonn, Nick;Trabucco, Mark R	Carangelo, Eric	Attorney-Client	Redacted email reflecting N. Bonn's and N. Chaney's* request for, and providing information for the purpose of obtaining, legal advice of M. McKay* and N. Chaney* regarding █████ inquiry into March 2011 transition
9	SS3_58661	SS3_58661	20170922175035699.pdf	9/22/2017 9:49:37 PM					Produced attachment to redacted document
10	SS3_58662	SS3_58665	RE: █████ 2011 Fixed Income Trade	9/25/2017 1:44:50 PM	Chaney, Nathan	Miller, Kevin A;Mckay, Melissa A;Tapparo, Susan M;Bonn, Nick;Trabucco, Mark R	Carangelo, Eric;Chaney, Nathan	Attorney-Client	Redacted email reflecting N. Bonn's and N. Chaney's* request for information for the purpose of obtaining legal advice of M. McKay* and N. Chaney*, and reflecting legal advice of N. Chaney* regarding █████ inquiry into March 2011 transition
11	SS3_58666	SS3_58668	8.24.11 Notice of Instruction - █████ Equitable Funds Management Group LLC.pdf	9/22/2017 2:43:58 PM					Produced attachment to redacted document
12	SS3_58673	SS3_58673	Updated: █████ Transition	3/1/2011 11:56:26 AM	Coyle, Steve	Rochte, Anthony;Dial, Lance;Malatos, Ann;Baker, Christopher (Compliance-SSgA);Corwin, Kelly;Waters, Patrick;Isberg, Renee;Brunell, Michael;Kirby, John;Coyle, Steve		Attorney-Client	Redacted email reflecting request for legal advice of L. Dial* regarding preparation for █████ transition in March 2011
13	SS3_59293	SS3_59294	RE: █████ 2011 Fixed Income Trade	9/15/2017 8:50:22 PM	Miller, Kevin A	Tapparo, Susan M;Bonn, Nick;Trabucco, Mark R	Carangelo, Eric;Mckay, Melissa A	Attorney-Client	Redacted email reflecting N. Bonn's request for and providing information for the purpose of obtaining legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
14	SS3_59295	SS3_59297	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document

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Privilege Number	Bates No. Begin	Bates No. End	File Name/Subject	Date Modified/Sent	From	To	CC	Basis for Redaction	Description of Privileged Information
15	SS3_59298	SS3_59300	RE: █████ 2011 Fixed Income Trade	9/22/2017 9:52:01 PM	Miller, Kevin A	Chaney, Nathan;Mckay, Melissa A;Tapparo, Susan M;Bonn, Nick;Trabucco, Mark R	Carangelo, Eric	Attorney-Client	Redacted email reflecting N. Bonn's and N. Chaney's* request for, and providing information for the purpose of obtaining, legal advice of M. Mckay* and N. Chaney* regarding █████ inquiry into March 2011 transition
16	SS3_59301	SS3_59301	20170922175035699.pdf	9/22/2017 9:49:37 PM					Produced attachment to redacted document
17	SS3_58674	SS3_58674	█████ data	9/22/2017 4:54:26 PM	Walling III, Roy	Griffiths, Michelle		Attorney-Client	Redacted email reflecting N. Bonn's request for information for the purpose of obtaining legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
18	SS3_58675	SS3_58677	FW: █████ 2011 Fixed Income Trade	9/22/2017 12:10:43 PM	Trabucco, Mark R	Tapparo, Susan M;Walling III, Roy	Miller, Kevin A;Bonn, Nick;Carangelo, Eric	Attorney-Client	Redacted email reflecting N. Bonn's request for and providing information for the purpose of obtaining legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
19	SS3_58678	SS3_59178	NCOMM 03-2011 through 04-2011 █████ Accounts1.xlsx	9/21/2017 10:17:58 PM				Attorney-Client	Redacted spreadsheet generated to provide information for the purpose of obtaining legal advice of M. Mckay* regarding inquiry into March 2011 transition
20	SS3_59179	SS3_59181	NCOMM 08-2011 █████ Account.xlsx	9/21/2017 10:18:01 PM				Attorney-Client	Redacted spreadsheet generated to provide information for the purpose of obtaining legal advice of M. Mckay* regarding inquiry into March 2011 transition
21	SS3_59182	SS3_59184	FW: █████ 2011 Fixed Income Trade	9/21/2017 12:52:23 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting N. Bonn's request for and providing information for the purpose of obtaining legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
22	SS3_59185	SS3_59187	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document
23	SS3_59188	SS3_59188	FW: █████ Post Trade	9/21/2017 12:53:13 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
24	SS3_59189	SS3_59194	█████ Equitable Summary 4.6.2011.pdf	4/6/2011 12:06:00 PM					Produced attachment to redacted document
25	SS3_59195	SS3_59196	█████ .EQ.Core.Post.Trade.pdf	4/6/2011 11:33:00 AM					Produced attachment to redacted document
26	SS3_59197	SS3_59198	█████ .MM.Core.Post.Trade.pdf	4/6/2011 11:32:00 AM					Produced attachment to redacted document
27	SS3_59199	SS3_59200	█████ .MultiSector.MM.Post.Trade.pdf	4/6/2011 11:33:00 AM					Produced attachment to redacted document
28	SS3_59201	SS3_59208	█████ .Post.Trade.pdf	4/6/2011 11:57:00 AM					Produced attachment to redacted document
29	SS3_59209	SS3_59211	FW: Final pre-trade and mid day update	9/21/2017 12:52:59 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
30	SS3_59212	SS3_59227	█████ .MM.Core.Bond.Pre.Trade.Feb.2011 Final.pdf	3/7/2011 1:22:00 PM					Produced attachment to redacted document
31	SS3_59228	SS3_59229	March 7 Midday Wrap-up.pdf	3/7/2011 1:28:00 PM					Produced attachment to redacted document
32	SS3_59230	SS3_59230	FW: Final Pre-trade	9/21/2017 12:53:32 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
33	SS3_59231	SS3_59242	█████ .Final.Pre.Trade.Aug.2011.pdf	8/23/2011 2:51:00 PM					Produced attachment to redacted document

Privilege Number	Bates No. Begin	Bates No. End	File Name/Subject	Date Modified/Sent	From	To	CC	Basis for Redaction	Description of Privileged Information
34	SS3_59243	SS3_59244	FW: Post trade analysis	9/21/2017 12:54:03 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
35	SS3_59245	SS3_59254	[REDACTED].Post.Trade.Aug.2011.pdf	9/7/2011 9:52:00 AM					Produced attachment to redacted document
36	SS3_59255	SS3_59256	FW: Pre-trade Reports	9/21/2017 12:52:44 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
37	SS3_59257	SS3_59272	[REDACTED].EQ.Core.Bond.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 8:30:00 AM					Produced attachment to redacted document
38	SS3_59273	SS3_59288	[REDACTED].Multi.Sector.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 8:25:00 AM					Produced attachment to redacted document
39	SS3_59312	SS3_59312	[REDACTED] data	9/22/2017 4:54:26 PM	Walling III, Roy	Griffiths, Michelle		Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
40	SS3_59313	SS3_59315	FW: [REDACTED] 2011 Fixed Income Trade	9/22/2017 12:10:43 PM	Trabucco, Mark R	Tapparo, Susan M; Walling III, Roy	Miller, Kevin A; Bonn, Nick; Carangelo, Eric	Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
41	SS3_59316	SS3_59816	NCOMM 03-2011 through 04-2011 [REDACTED] Accounts1.xlsx	9/21/2017 10:17:58 PM				Attorney-Client; Work Product	Redacted spreadsheet generated to provide information for the purpose of obtaining legal advice of M. McKay* regarding inquiry into March 2011 transition
42	SS3_59817	SS3_59819	NCOMM 08-2011 [REDACTED] Account.xlsx	9/21/2017 10:18:01 PM				Attorney-Client; Work Product	Redacted spreadsheet generated to provide information for the purpose of obtaining legal advice of M. McKay* regarding inquiry into March 2011 transition
43	SS3_59820	SS3_59822	FW: [REDACTED] 2011 Fixed Income Trade	9/21/2017 12:52:23 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting N. Bonn's request for and providing information for the purpose of obtaining legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
44	SS3_59823	SS3_59825	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document
45	SS3_59826	SS3_59826	FW: [REDACTED] Post Trade	9/21/2017 12:53:13 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
46	SS3_59827	SS3_59832	[REDACTED] Equitable Summary 4.6.2011.pdf	4/6/2011 12:06:00 PM					Produced attachment to redacted document
47	SS3_59833	SS3_59834	[REDACTED].EQ.Core.Post.Trade.pdf	4/6/2011 11:33:00 AM					Produced attachment to redacted document
48	SS3_59835	SS3_59836	[REDACTED].MM.Core.Post.Trade.pdf	4/6/2011 11:32:00 AM					Produced attachment to redacted document
49	SS3_59837	SS3_59838	[REDACTED].MultiSector.MM.Post.Trade.pdf	4/6/2011 11:33:00 AM					Produced attachment to redacted document
50	SS3_59839	SS3_59846	[REDACTED].Post.Trade.pdf	4/6/2011 11:57:00 AM					Produced attachment to redacted document
51	SS3_59847	SS3_59849	FW: Final pre-trade and mid day update	9/21/2017 12:52:59 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. McKay* regarding [REDACTED] inquiry into March 2011 transition
52	SS3_59850	SS3_59865	[REDACTED].MM.Core.Bond.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 1:22:00 PM					Produced attachment to redacted document
53	SS3_59866	SS3_59867	March 7 Midday Wrap-up.pdf	3/7/2011 1:28:00 PM					Produced attachment to redacted document

Privilege Number	Bates No. Begin	Bates No. End	File Name/Subject	Date Modified/Sent	From	To	CC	Basis for Redaction	Description of Privileged Information
54	SS3_59868	SS3_59868	FW: Final Pre-trade	9/21/2017 12:53:32 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
55	SS3_59869	SS3_59880	████.Final.Pre.Trade.Aug.2011.pdf	8/23/2011 2:51:00 PM					Produced attachment to redacted document
56	SS3_59881	SS3_59882	FW: Post trade analysis	9/21/2017 12:54:03 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
57	SS3_59883	SS3_59892	████.Post.Trade.Aug.2011.pdf	9/7/2011 9:52:00 AM					Produced attachment to redacted document
58	SS3_59893	SS3_59894	FW: Pre-trade Reports	9/21/2017 12:52:44 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
59	SS3_59895	SS3_59910	████.EQ.Core.Bond.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 8:30:00 AM					Produced attachment to redacted document
60	SS3_59911	SS3_59926	████.Multi.Sector.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 8:25:00 AM					Produced attachment to redacted document
61	SS3_59302	SS3_59304	FW: █████ 2011 Fixed Income Trade	9/21/2017 12:52:23 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
62	SS3_59305	SS3_59307	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document
63	SS3_59308	SS3_59308	FW: █████ TN	9/22/2017 8:01:00 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email rendering legal advice of N. Chaney* regarding █████ inquiry into March 2011 transition
64	SS3_59309	SS3_59311	8.24.11 Notice of Instruction - █████ Equitable Funds Management Group LLC.pdf	9/22/2017 2:43:58 PM					Produced attachment to redacted document
65	SS3_59927	SS3_59929	FW: █████ 2011 Fixed Income Trade	9/21/2017 12:52:23 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
66	SS3_59930	SS3_59932	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document
67	SS3_59933	SS3_59933	FW: █████ TN	9/22/2017 8:01:00 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email rendering legal advice of N. Chaney* regarding █████ inquiry into March 2011 transition
68	SS3_59934	SS3_59936	8.24.11 Notice of Instruction - █████ Equitable Funds Management Group LLC.pdf	9/22/2017 2:43:58 PM					Produced attachment to redacted document
69	SS3_59937	SS3_59937	████ data	9/22/2017 4:54:26 PM	Walling III, Roy	Griffiths, Michelle		Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding █████ inquiry into March 2011 transition
70	SS3_59938	SS3_59940	FW: █████ 2011 Fixed Income Trade	9/22/2017 12:10:43 PM	Trabucco, Mark R	Tapparo, Susan M; Walling III, Roy	Miller, Kevin A; Bonn, Nick; Carangelo, Eric	Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. McKay* regarding █████ inquiry into March 2011 transition

May 29, 2018

Privilege Number	Bates No. Begin	Bates No. End	File Name/Subject	Date Modified/Sent	From	To	CC	Basis for Redaction	Description of Privileged Information
71	SS3_59941	SS3_60441	NCOMM 03-2011 through 04-2011 [REDACTED] Accounts1.xlsx	9/21/2017 10:17:58 PM				Attorney-Client	Redacted spreadsheet generated to provide information for the purpose of obtaining legal advice of M. Mckay* regarding inquiry into March 2011 transition
72	SS3_60442	SS3_60444	NCOMM 08-2011 [REDACTED] Account.xlsx	9/21/2017 10:18:01 PM				Attorney-Client	Redacted spreadsheet generated to provide information for the purpose of obtaining legal advice of M. Mckay* regarding inquiry into March 2011 transition
73	SS3_60445	SS3_60447	FW: [REDACTED] 2011 Fixed Income Trade	9/21/2017 12:52:23 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting N. Bonn's request for, and providing information for the purpose of obtaining, legal advice of M. Mckay* regarding [REDACTED] inquiry into March 2011 transition
74	SS3_60448	SS3_60450	Download.pdf	9/15/2017 8:48:32 PM					Produced attachment to redacted document
75	SS3_60451	SS3_60451	FW: [REDACTED] Post Trade	9/21/2017 12:53:13 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding [REDACTED] inquiry into March 2011 transition
76	SS3_60452	SS3_60457	[REDACTED] Equitable Summary 4.6.2011.pdf	4/6/2011 12:06:00 PM					Produced attachment to redacted document
77	SS3_60458	SS3_60459	[REDACTED].EQ.Core.Post.Trade.pdf	4/6/2011 11:33:00 AM					Produced attachment to redacted document
78	SS3_60460	SS3_60461	[REDACTED].MM.Core.Post.Trade.pdf	4/6/2011 11:32:00 AM					Produced attachment to redacted document
79	SS3_60462	SS3_60463	[REDACTED].MultiSector.MM.Post.Trade.pdf	4/6/2011 11:33:00 AM					Produced attachment to redacted document
80	SS3_60464	SS3_60471	[REDACTED].Post.Trade.pdf	4/6/2011 11:57:00 AM					Produced attachment to redacted document
81	SS3_60472	SS3_60474	FW: Final pre-trade and mid day update	9/21/2017 12:52:59 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding [REDACTED] inquiry into March 2011 transition
82	SS3_60475	SS3_60490	[REDACTED].MM.Core.Bond.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 1:22:00 PM					Produced attachment to redacted document
83	SS3_60491	SS3_60492	March 7 Midday Wrap-up.pdf	3/7/2011 1:28:00 PM					Produced attachment to redacted document
84	SS3_60493	SS3_60493	FW: Final Pre-trade	9/21/2017 12:53:32 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding [REDACTED] inquiry into March 2011 transition
85	SS3_60494	SS3_60505	[REDACTED].Final.Pre.Trade.Aug.2011.pdf	8/23/2011 2:51:00 PM					Produced attachment to redacted document
86	SS3_60506	SS3_60507	FW: Post trade analysis	9/21/2017 12:54:03 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding [REDACTED] inquiry into March 2011 transition
87	SS3_60508	SS3_60517	[REDACTED].Post.Trade.Aug.2011.pdf	9/7/2011 9:52:00 AM					Produced attachment to redacted document
88	SS3_60518	SS3_60519	FW: Pre-trade Reports	9/21/2017 12:52:44 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email providing information for the purpose of obtaining legal advice of M. Mckay* regarding [REDACTED] inquiry into March 2011 transition
89	SS3_60520	SS3_60535	[REDACTED].EQ.Core.Bond.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 8:30:00 AM					Produced attachment to redacted document
90	SS3_60536	SS3_60551	[REDACTED].Multi.Sector.Pre.Trade.Feb.2011_Final.pdf	3/7/2011 8:25:00 AM					Produced attachment to redacted document

State Street Bank and Trust Company

United States v. Ross McLellan, Case No. 16-cr-10094-LTS

Redaction Privilege Log of Documents Responsive to Defendant's Third Party Subpoena, dated November 15, 2017
May 29, 2018

Privilege Number	Bates No. Begin	Bates No. End	File Name/Subject	Date Modified/Sent	From	To	CC	Basis for Redaction	Description of Privileged Information
91	SS3_60552	SS3_60552	FW: █████ TN	9/22/2017 8:01:00 PM	Trabucco, Mark R	Walling III, Roy		Attorney-Client	Redacted email reflecting legal advice of N. Chaney* regarding █████ inquiry into March 2011 transition
92	SS3_60553	SS3_60555	8.24.11 Notice of Instruction - █████ Equitable Funds Management Group LLC.pdf	9/22/2017 2:43:58 PM					Produced attachment to redacted document
93	SS3_58669	SS3_58670	RE: █████ 2011 Fixed Income Trade	9/15/2017 6:06:46 PM	Carangelo, Eric	Mckay, Melissa A;Bonn, Nick;Tapparo, Susan M;Trabucco, Mark R	Miller, Kevin A;Chaney, Nathan	Attorney-Client	Redacted email reflecting N. Bonn's request for information for the purpose of obtaining legal advice of M. Mckay*, as well as legal advice of M. Mckay* regarding █████ inquiry into March 2011 transition
94	SS3_58671	SS3_58671	NYSTRS 11 14 16.pdf	9/15/2017 6:04:36 PM					Produced attachment to redacted document

*Denotes Attorney Name